



PATENT
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#12
D. Scott
5-30-03

Applicant: Robert A. Morgan et al.

Serial No.: 09/751,423

Examiner: Willie, Douglas A.

Filed: December 29, 2000

Group Art Unit: 2814

For: SPATIALLY MODULATED REFLECTOR FOR AN OPTOELECTRONIC DEVICE

Docket No.: H16-26549 (1100.1114101)

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BEFORE
MAILING DATE OF EITHER A FINAL ACTION OR NOTICE
OF ALLOWANCE (37 CFR 1.97(c))**

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EV091135994US, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231 on this 10th day of February, 2003.

By _____

Lynn Thompson
Lynn Thompson

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.96, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

The information disclosure statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but before the mailing date of either a final action under

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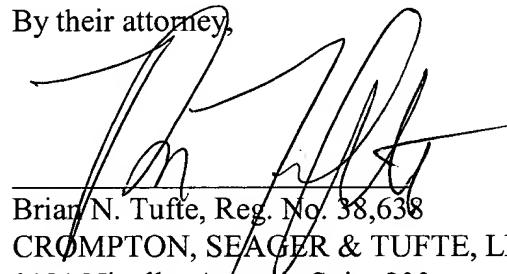
Section 1.113 or a notice of allowance under Section 1.311, whichever occurs first.

Accompanying this transmittal is Fee Transmittal FY 2003 authorizing payment of fees in the amount of \$180.00 to be made from Honeywell Deposit Account 01-1125.

Respectfully submitted,

Robert A. Morgan et al.

By their attorney,



Brian N. Tufte, Reg. No. 38,638
CROMPTON, SEAGER & TUFTE, LLC
1121 Nicollet Avenue, Suite 800
Minneapolis, MN 55403-2420
Telephone: (612) 677-9050
Facsimile: (612) 359-9349

Dated: February 10, 2003



02-11-03

PP. 28/4
\$

PATENT

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Applicant: Robert A. Morgan et al.

Serial No.: 09/751,423 Examiner: Willie, Douglas A.

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OPTOELECTRONIC DEVICE

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TRANSMITTAL SHEETAssistant Commissioner for Patents
Washington, D.C. 20231

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By _____

Lynn Thompson

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We are transmitting herewith the attached:

- [X] Supplemental Information Disclosure Statement (37 CFR 1.56), PTO Form-1449 and 6 cited references.
- [X] Fee Transmittal For FY 2003 authorizing payment in the amount of \$180.00 (IDS after first OA) to be charged to Honeywell Deposit Account 01-1125.
- [X] Please charge any deficiencies or credit any overpayment in the enclosed fees to Honeywell Deposit Account No. 01-1125.

By: _____

Brian N. Tufte, Reg. No. 38,638
CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue, Suite 800
Minneapolis, Minnesota 55403-2420
Telephone: (612) 677-9050
Facsimile: (612) 359-9349

FEB 10 2003

FEE TRANSMITTAL
For FY 2003

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT \$180.00

COMPLETE IF KNOWN

Application No.	09/751,423
Filing Date	December 29, 2000
First Named Inventor	Robert A. Morgan
Group Art Unit	2814
Examiner Name	Willie, Douglas A.
Attorney Docket No.	H16-26549 (1100.1114101)

METHOD OF PAYMENT (check all that apply)

 Check Credit card Money Order Other Deposit Account

Deposit Account Number 01-1125

Deposit Account Name Honeywell International Inc.

The Commissioner is hereby authorized to: (check all that apply)

 Charge fee(s) indicated below Credit any overpayment Charge any additional fee(s) during the pendency of this application Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code \$	Fee Code \$		
1001 750	2001 375	Utility Filing Fee	750
1002 930	2002 165	Design Filing Fee	
1003 520	2003 260	Plant Filing Fee	
1004 750	2004 375	Reissue Filing Fee	
1005 160	2005 80	Provisional Filing Fee	
SUBTOTAL (1)			\$0.00

2. EXTRA CLAIM FEES

Total Claims	-20**-	Extra Claims	Fee from below	Fee Paid
Independent	- 3 -		x 1x.00	
Multiple Dependent Claims	+ 0 +		x 84.00	
			x 250.00	- 0 -

Large Entity	Small Entity	Fee Description
Fee Code \$	Fee Code \$	
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 260	2203 140	Multiple dependent claim
1204 84	2204 42	**Reissue independent claims over original patent
1205 18	2205 9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		\$0.00

*number previously paid, if greater, see above for Reissues

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee \$	Fee Code	Fee \$	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,250	Filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	485	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1480	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1805	180	1806	180	Submission of Information Disclosure Statement	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2808	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
1504	300	1504	300	Publication fee	
Other fee (specify)					

- Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

\$ 180.00

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SUBMITTED BY		Complete (if applicable)	
Name (Print / Type)	Andrew A. Abeyta	Registration No. (Attorney/Agent)	39,582
Signature	Telephone 602-313-3345		
		Date	2-10-03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C., 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC, 20231.